

Appendix A

Notes in relation to completion of Water Services Investment Programme

Quarterly Report for Q4 of 2006.

1. There are four main sections in the Report. These are Progress of schemes (Columns 4-7), Costs (Columns 8-10), Expenditure (Columns 11-14) and Additional Capacity (Columns 15-16).
2. A 'scheme' is generally made up of two or more contracts but information on contracts within the scheme is not requested. Throughout this Report, it is the position in relation to the 'scheme' that is being sought and "scheme" should be interpreted as the 'scheme-at-large' or, in the case of Grouped Schemes, the position in relation to that group of schemes as a single entity.
3. Where 'Advance Works' are underway or being considered etc., they should, where possible, be reported on separately from the 'main scheme' in the Report (even if the 'Advance Works' do not appear as a separate entity in the Water Services Investment Programme). Once the 'main scheme' has started the 'Advance Works' can then be amalgamated with the 'main scheme' for reporting purposes.
4. "Completions". For this Report, a scheme is to be regarded as completed when 'substantially complete', i.e. the infrastructure that makes up the scheme is in place and ready to function.
5. "Starts". For this Report, a scheme (or 'Advance Works' being reported separately) is to be regarded as started when any contract for physical construction (Civil or Mechanical/Electrical), that is part of the scheme (or 'Advance Works', as appropriate) is signed by the local authority.
6. Throughout the Report, projections should be as realistic as possible and based on experience with water services infrastructure provision. Where appropriate, and for the purpose of completing this Report only, the length of time to build into projections for progression of projects, in so far as clearance

of fully documented proposals (Ref: L1/06 and L7/06) through the Department is concerned, is as follows – Clearance of an Initial Brief (1 month); Clearance of a Preliminary Report (6 months); Clearance of Contract or DBO documents (4 months) and Clearance of Tenders (3 months).

7. Columns 1 and 2 are already completed by the Department. Column 1 lists the schemes in the WSIP 2005 – 2007. Column 2 – Type of Scheme – schemes are shown as either ‘WSIP’ (Ordinary scheme); ‘SLI’ (Serviced Land Initiative) or ‘RTVI’ (Rural Towns and Villages Initiative). The Water Conservation Project is included as ‘Water Con’. Information regarding ‘Asset Management’ and ‘River Basin District’ projects is not being sought as part of this Report.
8. Column 3 – If there is a ‘Public Private Partnership’ (PPP) dimension (e.g. – Design/Build; Design/Build/Operate) to any part of the scheme, please indicate ‘Yes’. Otherwise, indicate ‘No’.
9. Columns 4 - 7 involve ticking (✓) the appropriate column(s) opposite the listed scheme to reflect the position in relation to the progress of that scheme at the end of the Quarter. As some schemes will move over the timeframe covered, it will be normal to expect a tick (✓) mark to appear opposite some of the listed schemes more than once. For example, a scheme in progress at the end of the Quarter but expected to be completed in 2007 would have a tick (✓) mark in both Columns 5(a) and 4(b). Another example would be a scheme in planning at the end of the Quarter but expected to start in 2007 - tick (✓) marks would be expected opposite Columns 7(a), 6(b) and 5(b).
10. In relation to Column 4 (Completions), Column 4(a) should be ticked (✓) if a scheme was completed in the 12 month period ending 31 December 2006. Column 4(b) should be ticked (✓) if a scheme is expected to be completed in 2007.
11. In relation to Column 5 (In Progress), Column 5(a) should be ticked (✓) if a scheme is in progress at the end of the last quarter of 2006. Column 5(b) should be ticked (✓) if a scheme is expected to be in progress at the end of 2007. As the number of schemes in progress at the end of any quarter is

determined by not only schemes in progress at the beginning of the quarter but also starts and completions during the quarter, the information provided in Column 5 should be consistent with the information provided in Columns 4 and 6.

12. In relation to Column 6 (Starts), Column 6(a) should be ticked (✓) if a scheme started in the 12 month period ending 31 December 2006. Column 6(b) should be ticked (✓) if a scheme is expected to start in 2007.
13. In relation to Column 7 (Planning Stage), Column 7(a) should be ticked (✓) if a scheme is at planning stage (not yet started) at the end of the last quarter of 2006. Column 7(b) should be ticked (✓) if a scheme is expected to remain at planning stage at the end of 2007.
14. Columns 8 to 16 collectively will outline information regarding costs, local authority capital expenditure and additional capacity being generated on an individual basis for each of the schemes listed in Column 1. Information relating to costs and expenditure should be shown in € million (rounded to two decimal places).
15. Columns 8 to 10 deal with overall costs on the schemes listed in Column 1. Global overall costs should be given, i.e. contract and non-contract costs combined. The latest 'approved cost' in Column 8 is the all-in cost of the scheme as approved by the Department. The latest 'approved grant' in Column 10 is the amount of capital grant assistance payable by the Department towards the project, having excluded costs being met from other sources – 'Local Authority Costs' in Column 9 – these would primarily be the 'Polluter Pays' element of the cost and cost of fluoridation of water supplies. Where approved costs and grants have been agreed, these columns have been completed by the Department. Where approved costs and grants have not yet been agreed, Column 14 enables the local authority to indicate the expected cost of the project.
16. Columns 11 to 14 deal with gross local authority capital expenditure on the schemes listed in Column 1. Current (day to day) expenditure should not be

included in the figures. Figures included in Columns 11 to 13 should add up to give the same figure shown in Column 14. Column 11 should show the cumulative gross capital expenditure incurred by the local authority on the scheme at the end of the fourth quarter of 2006 since the commencement of the scheme. Columns 12 and 13 require projected local authority gross capital expenditure on the scheme for 2007 and post 2007. Column 14 is the local authority's estimate of the final all-in gross cost of the scheme – in most cases this will be same as the latest 'Approved Cost' in Column 8. However, this Column allows for a different figure where the local authority considers that a case will be made to the Department for increased costs. Column 14 also provides for those cases where a Procurement/Construction stage budget has not yet been approved, in which case the local authority should show the best estimate of the gross all-in cost currently available.

17. Columns 15 and 16 deal with the additional capacity to be generated by the schemes listed in Column 1. In the case of a water supply scheme, Column 15 should be completed showing the additional cubic meters per day capability generated by completion of the scheme. In the case of a wastewater scheme, Column 16 should be completed showing the additional 'pe' ('population equivalent' as defined in the EU Urban Wastewater Treatment Directive – organic biodegradable load having a five-day biochemical oxygen demand [BOD 5] of 60g of oxygen per day) that will be generated by completion of the scheme. Some water and wastewater schemes will not involve the provision of additional capacity and in these cases 'Nil' should be indicated in the appropriate column.